

# Constructing a Preservation Policy: the case of the UK Data Archive

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# Requirements influencing policy

- organisational and business need
- user requirements
- standards
- legislation
- technology and technical environment
- security

# UKDA Mission Statement

“to support high quality research, teaching and learning in the social sciences and humanities, by acquiring, developing and managing data and related digital resources, and by promoting and disseminating these resources as widely and effectively as possible.”

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- [Help on searching](#)
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- [HASSET thesaurus](#)
- [Other archives](#)

**Subject Categories:**

Community and urban studies - Society and culture  
Family life and marriage - Social stratification and groupings  
Leisure, tourism and sport - Society and culture

**Depositor(s):**

Willmott, P., Institute of Community Studies

**Principal Investigator(s):**

**Accessing**

Column

Title

Codes

~~#~~ 2/21

Pressed

Not pressed

1 468

Sometimes pressed, sometimes not

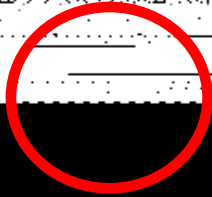
2 352

Pressed

3 414

Q.35

```
11004•15151644520412609300009999999999990999999992920651065412653370000370004801  
11004•2141999130991121231120420015000000000025001500000089999999999999999200100  
11004•302200000000000304100001020000000000000000000103060406040606060406060405  
11004•40404000007080001120021020001889000014212613374503818810009903999990202452  
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```



**Pressed**

		Frequency	Percent	Valid Percent
Valid	Not Pressed	468	36.7	36.7
	Sometimes pressed, sometimes not	382	29.9	29.9
	Pressed	417	32.7	32.7
	Incomplete information or N/A	9	.7	.7
	Total	1276	100.0	100.0

### Workplace

	Frequency	Percent	Valid Percent
Valid 0	2	.1	.1
Central area	211	10.9	10.9
Elsewhere Inner London (ILEA)	154	8.0	8.0
Outer GLC	366	19.0	19.0
Outer Metropolitan Area	385	20.0	20.0
Outside London Region	7	.4	.4
Works at home	27	1.4	1.4
No fixed place, travel around, "all over"	121	6.3	6.3
Insufficient Information / N/A (i.e., not working)	655	34.0	34.0
Total	1928	100.0	100.0

1/61

Workplace

Q. 18

56

Central Area (see special map)	1211
Elsewhere Inner London (ILEA)	2154
Outer GLC	3366
Outer Metropolitan Area	4385
Outside London Region	57
I/I, Not applicable (i.e. not working) working at home, travels	657
Works at home	627
No fixed place, travel around, "all over"	7121
	1928

Note: Change from precodes 0 and 9



# Standards used to inform

- Rights (Legal Protection of Databases, Re-use of PSI)
- BS ISO 14721 OAIS
- BS ISO 18492 Long term preservation of electronic information
- Information security standards (BS ISO 27001 and 27002)
- Records management standards (BS ISO 15489)
- Media/hardware storage and maintenance

# Standards used to inform

- Archival standards (BS 5454)
- Metadata standards
- File formats
  
- Also:
  - Other policies (TNA, English Heritage...)
  - Other guidelines (TNA, AHDS)
  - Planning tools

# Planning tools

- TRAC (Trustworthy Repositories Audit and Certification) Criteria and Checklist
- the NESSTOR catalogue of criteria
- the Digital Preservation Coalition's Handbook
- the DRAMBORA toolkit
  
- 'Core requirements for Digital Archives' (Center for Research Libraries)
- Data Seal of Approval published by DANS.

# Life-cycle approach

- Legal and regulatory framework
- Pre-ingest / ingest
- Archival storage
- Systems (hardware) / IT architecture
- Data Management / metadata
- Access

# Key changes in new policy

- Increased effort on authenticity, integrity and reliability;
- adherence to archival/records management standards;
- new concepts surrounding versioning and editions;
- definitions for archival packages;
- verification of AIPs;
- improved data management (i.e., non-resource discovery metadata);
- preservation of resource-discovery metadata;
- planning open implementation of preservation metadata.

**Case Query Archive**

**Case Query**